



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

AKIYAMA, Tomoyuki

Group Art Unit: **Not Yet Assigned**

Serial No.: **10/708,219**

Examiner: **Not Yet Assigned**

Filed: **February 17, 2004**

For: **SEMICONDUCTOR OPTICAL AMPLIFIER SUITABLE FOR COARSE
WDM COMMUNICATIONS AND LIGHT AMPLIFICATION METHOD**

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

February 18, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449 and references (2)



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|---|--------------------------------|----------------------------------|
| INFORMATION DISCLOSURE CITATION PTO-1449 | Atty. Docket No. 040027 | Serial No. 10/708,219 |
| Applicant(s): AKIYAMA, Tomoyuki | | |
| | Filing Date: February 17, 2004 | Group Art Unit: Not Yet Assigned |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Name | Date | Class | Subclass | Filing Date (If appropriate) |
|------------------|--------------|------|------|-------|----------|------------------------------|
| _____ | AA | | | | | |
| _____ | AB | | | | | |
| _____ | AC | | | | | |
| _____ | AD | | | | | |
| _____ | AE | | | | | |

FOREIGN PATENT DOCUMENTS

| | Document No. | Date | Country | Translation (Yes or No) |
|-------|----------------|----------|---------|-------------------------|
| _____ | AF 2002-344090 | 11/29/02 | Japan | Abstract |
| _____ | AG 5-267795 | 10/15/93 | Japan | Abstract |
| _____ | AH | | | |
| _____ | AI | | | |
| _____ | AJ | | | |

OTHER DOCUMENTS

| | | |
|----------|----|-----------------|
| _____ | AK | |
| _____ | AL | |
| Examiner | | Date Considered |

January 26, 2004
WF816US

INFORMATION DISCLOSURE STATEMENT

The following references are submitted as information to
comply with the duty of disclosure under 37 CFR1.56(a)

| Document | Disclosed in the specification? | | Copy | | | Abstract in English | |
|---|---------------------------------------|----|------|--------|------------------|---------------------|--------------------------|
| | Yes | No | Enc | Follow | Please obtain | Enc | Not Av aila ble |
| 1. JP 2002-344090 Laid Open Nov. 29, 2002 | X | | X | | | X | |
| 2. JP HEI-5-267795 Laid Open Oct. 15, 1993 | X | | X | | | X | |
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Short comment: